

37248  
+Please type a plus sign (+) inside this box → ☐PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                                  |                            |
|---|----------------------------------|----------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number               | 10/626,791                 |
|   | Filing Date                      | July 23, 2003              |
|   | First Named Inventor             | Trung Tri Doan             |
|   | Group Art Unit                   | 3724 (Priority)            |
|   | Examiner Name                    | Timothy V. Eley (Priority) |
| Total Number of Pages in This Submission  | Attorney Docket Number MI22-2355 |                            |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                              | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                                      | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Supp. Preliminary Amendment / <del>Response</del> | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                    | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                                    | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input type="checkbox"/> Express Abandonment Request                                  | <input type="checkbox"/> Terminal Disclaimer  | Return Receipt Postcard; Check for \$180.00; PTO-1449                                      |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement     | <input type="checkbox"/> Small Entity Statement   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                       | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application             | Remarks   | Customer No. 021567.   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53          |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Mark S. Matkin, Reg. No. 32,268<br>Wells St. John P.S. |
| Signature                                  |  |
| Date                                       | 1/28/04  |

| CERTIFICATE OF MAILING  |                      |
|---|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> |                      |
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| Signature   | <input type="text"/> |
| Date  | <input type="text"/> |

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PTO/SB/17 (12/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL

for FY 2004

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete if Known

|                      |                            |
|----------------------|----------------------------|
| Application Number   | 10/626,791                 |
| Filing Date          | July 23, 2003              |
| First Named Inventor | Trung Tri Doan             |
| Examiner Name        | Timothy V. Eley (Priority) |
| Group / Art Unit     | 3724 (Priority)            |
| Attorney Docket No.  | MI22-2355                  |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells St. John P.S.

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 690                   | 201               | 345                   | Utility filing fee     | 0.00     |
| 106               | 310                   | 206               | 155                   | Design filing fee      |          |
| 107               | 480                   | 207               | 240                   | Plant filing fee       |          |
| 108               | 690                   | 208               | 345                   | Reissue filing fee     |          |
| 114               | 150                   | 214               | 75                    | Provisional filing fee |          |

SUBTOTAL (1) (\$0.00)

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| N/A                | -20** = 10   | X 18 = 180     |          |
| Independent Claims | 0 - 3** = 0  | X              | 0        |
| Multiple Dependent |              |                | 0        |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  |
|-------------------|-----------------------|-------------------|-----------------------|--|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                     |
| 102               | 78                    | 202               | 39                    | Independent claims in excess of 3                          |
| 104               | 260                   | 204               | 130                   | Multiple dependent claim, if not paid                      |
| 109               | 78                    | 209               | 39                    | ** Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$180.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105                 | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  | 0.00     |
| 127                 | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |
| 139                 | 130                   | 139               | 130                   | Non-English specification  | 0.00     |
| 147                 | 2,520                 | 147               | 2,520                 | For filing a request for reexamination                                     | 0.00     |
| 112                 | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113                 | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115                 | 110                   | 215               | 55                    | Extension for reply within first month                                     | 0.00     |
| 116                 | 380                   | 216               | 190                   | Extension for reply within second month                                    | 0.00     |
| 117                 | 870                   | 217               | 435                   | Extension for reply within third month                                     | 0.00     |
| 118                 | 1,360                 | 218               | 680                   | Extension for reply within fourth month                                    | 0.00     |
| 128                 | 1,850                 | 228               | 925                   | Extension for reply within fifth month                                     | 0.00     |
| 119                 | 300                   | 219               | 150                   | Notice of Appeal   | 0.00     |
| 120                 | 300                   | 220               | 150                   | Filing a brief in support of an appeal                                     | 0.00     |
| 121                 | 260                   | 221               | 130                   | Request for oral hearing   | 0.00     |
| 138                 | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              | 0.00     |
| 140                 | 110                   | 240               | 55                    | Petition to revive - unavoidable   | 0.00     |
| 141                 | 1,210                 | 241               | 605                   | Petition to revive - unintentional   | 0.00     |
| 142                 | 1,210                 | 242               | 605                   | Utility issue fee (or reissue)   | 0.00     |
| 143                 | 430                   | 243               | 215                   | Design issue fee   | 0.00     |
| 144                 | 580                   | 244               | 290                   | Plant issue fee  | 0.00     |
| 122                 | 130                   | 122               | 130                   | Petitions to the Commissioner  | 0.00     |
| 123                 | 50                    | 123               | 50                    | Petitions related to provisional applications                              | 0.00     |
| 126                 | 240                   | 126               | 240                   | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581                 | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146                 | 690                   | 246               | 345                   | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149                 | 690                   | 249               | 345                   | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| Other fee (specify) |                       |                   |                       |  | 0.00     |
| Other fee (specify) |                       |                   |                       |  | 0.00     |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

## SUBMITTED BY

|                   |                |                                   |         |           |              |
|-------------------|----------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Mark S. Matkin | Registration No. (Attorney/Agent) | 32,268  | Telephone | 509-624-4276 |
| Signature         |                | Date                              | 1/28/04 |           |              |

## WARNING:

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EL 979951676

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 10/626,791  
Filing Date ..... July 23, 2003  
Inventor ..... Trung Tri Doan  
Assignee ..... Micron Technology, Inc.  
Priority Group Art Unit ..... 3724  
Priority Examiner ..... Timothy V. Eley  
Attorney's Docket No. .... MI22-2355  
Customer No. .... 021567  
Title ..... Particle Forming Methods

**SUPPLEMENTAL PRELIMINARY AMENDMENT**

To: Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**VIA EXPRESS MAIL**

From: Mark Matkin (Tel. 509-624-4276; Fax 509-838-3424)  
Wells St. John P.S.  
601 West First Avenue, Suite 1300  
Spokane, WA 99201-3828

Please enter the following amendments prior to examining the above-identified application.

**AMENDMENTS**

02/04/2004 MBELETE1 00000036 10626791

01 FC:1202

180.00 OP